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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Asif Adatia

Application No.: 09/841,388

Filed: April 24, 2001

For: AUTOMATED SECURITIES TRADE
EXECUTION SYSTEM AND METHOD

Attorney Docket No.: 11252-0005

Group Art Unit: 3624

Examiner: S.R. Wasylchak

I, John F. Letchford, Registration No. 33,328, certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 5, 2004.

John F. Letchford

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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GROUP 3600

Sir:

AMENDMENT/RESPONSE

In response to the Office Action dated December 5, 2003, Applicant respectfully requests entry of the following amendments into the above-identified application. Each of the amendments finds full support in the claims, specification and/or drawings as filed.

04/09/2004 STEUKEL1 00000005 09841388

01 FC:1202
02 FC:1201

54.00 OP
86.00 OP

Application No.: 09/841,388
Amendment Dated: April 5, 2004
Reply to Office Action Dated: December 5, 2003

Enclosed herewith is a Petition under 37 CFR §1.136(a) requesting a one (1) month extension of time to respond to the Office Action, thereby extending the period for response thereto up to and including April 5, 2004. Also enclosed is a check (no. 37206) in the amount of \$250.00 to cover the Petition fee and the excess claims fee relating to the introduction of new claims 14-23, *infra*.

Amendments to the Claims begin on page 3 of this paper.

Remarks/Arguments begin on page 9 of this paper.